LYTICS Intellectual Property Analytics

Undeclared patents for video codec technologies (AVC, HEVC, VVC)

IPlytics GmbH

<u>Video Recordings:</u> https://youtu.be/B0krsLqVrD8



The Video Codec SEP Data Challenge



The "minimal declaration" situation due to blanket statements

Approximately only about <u>20-30%</u> of all AVC /HEVC or VVC SEPs are declared at ITU-T

*The numbers quoted above are examples of expert reports and may vary when considering other reports. No matter what the percentages are all reports show that patent declaration databases either include non-essential patents (e.g. ETSI and others) or are incomplete (e.g. IEEE, ITUT and others).



Challenges with video codec patent declaration data

Available video codec declaration data:

- O IUT-T patent declaration database include over 70% so called "blanket" declarations → Companies state to own video codec SEPs without proving lists of declared patents.
- Patent pools such as MPEG LA, Access Advance or Velos Media only cover a fraction of the video codec patent owners.
- We identify almost 150 entities that have submitted standards contributions for video codec technologies. Patent declaration information or patent pools are missing over for over 60% of these companies.



The Video Codec SEP Market Pain Points



Use cases for video codec patent owners



Patent portfolio manager:

- How to compare and value your portfolios against competitors for HEVC or VVC patents?
 - What is my market share for VVC patents compared to others?
- How can I identify strength and weaknesses to further develop my video codec portfolio?



Licensing executives / deal maker:

- How do I find all relevant HEVC or VVC patents in my portfolio?
- How do I identify patents to commercialize/license, sell or which ones should I abandon?
- How can I weed out 'weaker' patents, focusing resources on higher ranked patents



Use cases for video codec licensees



Licensing manager / legal division:

- How do I identify the market share of patents offered for licensing-in technologies like HEVC and VVC?
- How can I get access to objective data to consider for FRAND preparation, negotiations, argument formulation
- How do I know the offered SEP portfolio is "essential"?



Strategic IP attorneys / legal divisions:

- Which SEPs are in fact relevant for my products?
- Who are the leading patent owners for AVC / HEVC /VVC and how many patents do the patent pools (Access Advance / MPEGLA or Velos Media) cover?
- What are the risk to be litigated in that market?



The Video Codec SEP Identification Approach



The IPlytics data team has been utilizing different inputs including a smart combination of IPC/CPC, time ranges, tested against contribution and inventor data from video codec patent declarations, patent pool programs, and standards contributions.



CPC/IPC concentration

We make use of pooled patents and declared patents' main IPC/CPC classes





CPC/IPC concentration

Patent *18 months until public* application \blacktriangleright We utilize the On average 32 months until granted time periods during which Often submitted and **published** a few months the video codec (0-2) after the provisional application standard **Standard** generations contribution Often approved an were developed accepted with a few weeks after the

meeting



Contributor Applicant Correlation

We correlate patents' first applicants and inventors with standards contributors

Submitted approved and incorporated VVC
(H.266) contribution at meeting

- Patent filed by same applicant or inventor







Semantic analysis of patent claims and standards

 We semantically map patent
 claims to video
 codec standard
 sections

		Semantic Essentiality 80%		
Overview 44 Family Members 1 Citing F	Patents Semantic Essentiality 80%		nts 1 Literature St	andards 1 Companie
Semantic Essentiality Score: 809	6			
Publication Number	US9641655B2	Standard Document Id	TS 38.322 v16.2.0	
SEMANTICALLY SIMILAR CLAIM 6		SEMANTICALLY SIMILAR SECTION 5.4		

6. A wireless transmit receive unit (WTRU) comprising: a PDCP entity configured to: receive a PDCP service data unit (SDU) from an upper layer entity, start a PDCP discard timer upon receiving the PDCP SDU from the upper layer entity, process the PDCP SDU to form a PDCP protocol data unit (PDU), send the PDCP PDU to a radio link control (RLC) entity for transmission, and discard the PDCP SDU based on either the PDCP discard timer expiring or receiving a PDCP status report that acknowledges receipt of the PDCP SDU by a receiving PDCP entity; and the RLC entity configured to discard an RLC SDU corresponding to the PDCP PDU based on either receiving an indication of PDCP discard from the PDCP entity or re-establishment of RLC.

When indicated from upper layer (i.e. PDCP) to discard a particular RLC SDU, the transmitting side of an AM RLC entity or the transmitting UM RLC entity shall discard the indicated RLC SDU, if neither the RLC SDU nor a segment thereof has been submitted to the lower layers. The transmitting side of an AM RLC entity shall not introduce an RLC SN gap when discarding an RLC SDU.



The Video Codec SEP Identification Solution



IPlytics Undeclared
 Patent Universe
 provides a video coding
 landscape of potentially
 essential patents.





It allows to discover patents that may be essential, even though they're hidden behind blanket declarations.

It enables to gain a clear view of the competition in the video coding sector.





 It empowers users to easily recognize the proportion of the landscape of players in the video coding space.

It enables users to adjust the portfolio strategy for video coding based on more accessible data.





The Video Codec Data Limitation

Limitations

• The IPlytics undeclared patents identification follows a **precision/recall approach**.

- Patent characteristics like IPC/CPC, priority dates, inventors or patent applicants are utilized to identify potentially essential video codec patents.
- Our approach identifies 96% of all declared or pooled patents with a data noise rate of 2% (known false positives).
- The Semantic Essentiality Score (SES) provides accurate results only for English original language patents (e.g. US, EP, CA, GB and so on)
- Not all identified undeclared video patents are essential!



IPlytics

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https://www.iplytics.com/reques t-a-demo/







Questions?

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